

The background of the slide is a light gray with a repeating pattern of stylized circuit traces in red and gray. Interspersed among these lines are small, faint gear icons. A dark gray horizontal bar with rounded ends is positioned in the upper-middle section of the slide, containing the title text.

Research Talk

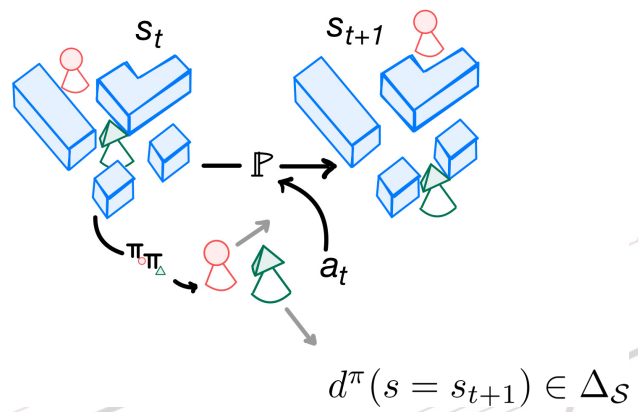
Riccardo Zamboni

06-08-2025

Outline

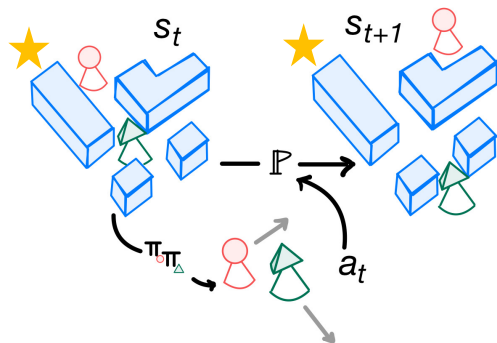
- Motivations
- Unsupervised Pre-Training: The Setting & Problem Formulation
- One Fun Fact
- Pre-training with Partial Observations
- Pre-training with Multiple Agents
- Future research directions

Motivations

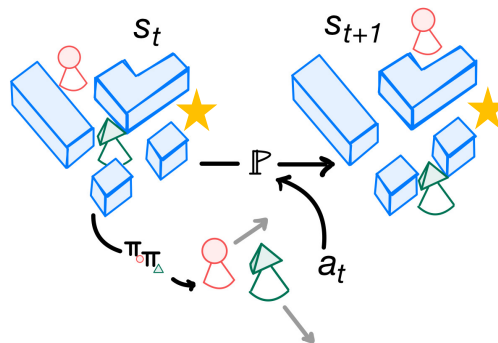


Motivations

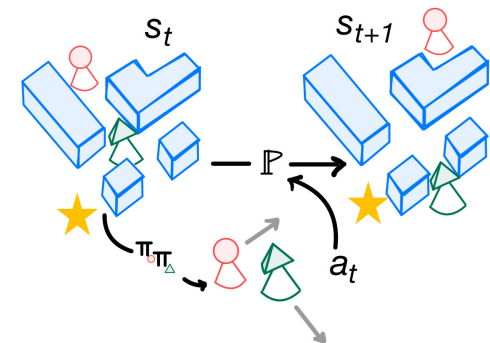
Task A



Task B



Task ?



Motivations

What if you happen to have a simulator, but the **task** is **mis-specified**, or **not fixed**, or yet **unknown**?

Motivations

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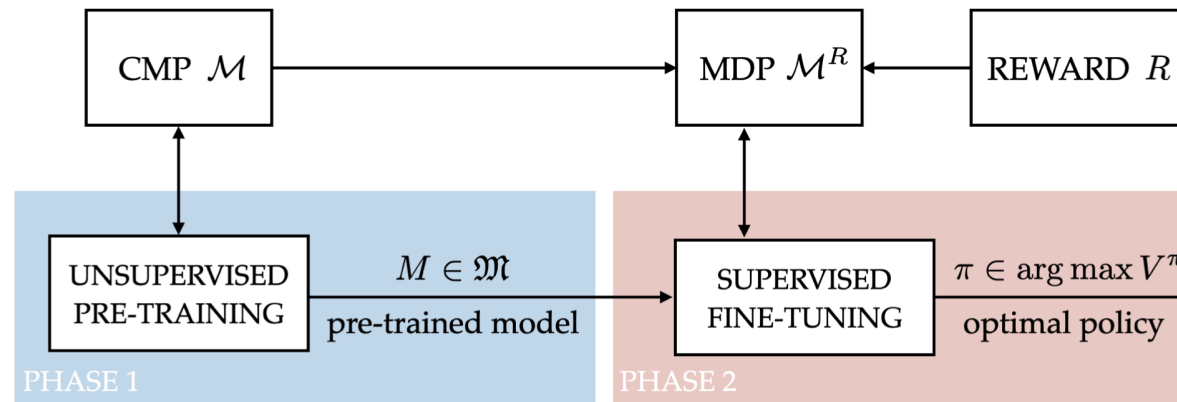
In RL, **unsupervised pre-training** [1, 2] is a solution:

Learn **something useful** no matter the task, to leverage later as soon as a task is provided.

[1] Laskin et al., Unsupervised reinforcement learning benchmark, NeurIPS 2021

[2] Zisselmann et al. Explore to Generalize in Zero-Shot RL. NeurIPS 2023

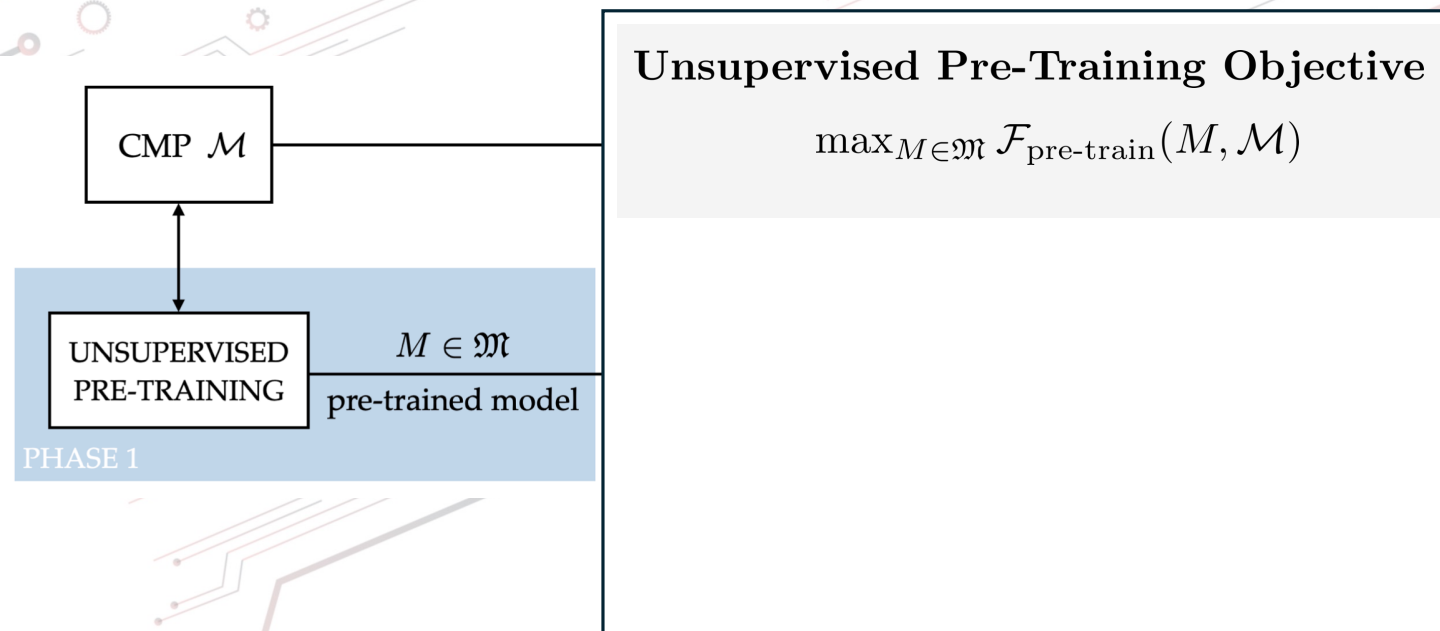
Unsupervised Pre-Training: The Setting & Problem Formulation



[1] Laskin et al., Unsupervised reinforcement learning benchmark, NeurIPS 2021

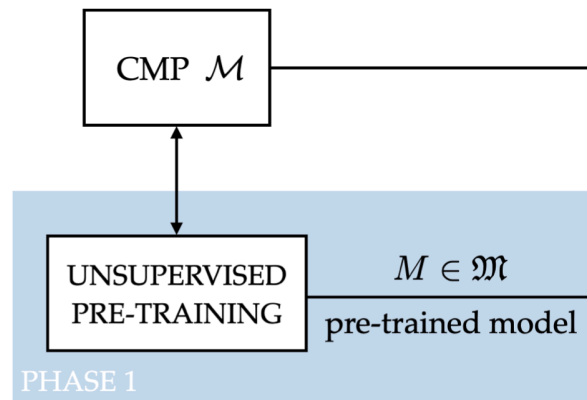
[2] Zisselmann et al. Explore to Generalize in Zero-Shot RL. NeurIPS 2023

Unsupervised Pre-Training: The Setting & Problem Formulation



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Unsupervised Pre-Training: The Setting & Problem Formulation



Unsupervised Pre-Training Objective

$$\max_{M \in \mathfrak{M}} \mathcal{F}_{\text{pre-train}}(M, \mathcal{M})$$

Which model should we pre-train?

- Transition Models
- Representations
- Data-Sets
- Policy Spaces
- Policies

[1] Laskin et al., Unsupervised reinforcement learning benchmark, NeurIPS 2021

[2] Zisselmann et al. Explore to Generalize in Zero-Shot RL. NeurIPS 2023

Unsupervised Pre-Training: The Setting & Problem Formulation

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Unsupervised Pre-Training: The Setting & Problem Formulation

Unsupervised Pre-Training Objective

$$\max_{M \in \mathfrak{M}} \mathcal{F}_{\text{pre-train}}(M, \mathcal{M})$$

We pre-train policies.

State Entropy Maximization

$$\mathcal{F}_{\text{pre-train}} = H(d^\pi)$$

$$H(d^\pi) := - \mathbb{E}_{s \sim d^\pi} \log d^\pi(s)$$

$$d^\pi(s) = \frac{1}{T} \sum_{t \in [T]} \Pr(s_t = s | \pi, \mu)$$

Unsupervised Pre-Training: The Setting & Problem Formulation

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Policy pre-training in **MDPs** allows for zero-shot **generalization** [2].
task-misspecification
robustness [3]

[2] Zisselmann et al. Explore to Generalize in Zero-Shot RL. NeurIPS 2023

[3] Ashlag et al. State Entropy Regularization for Robust Reinforcement Learning, under-review 2025

One Fun Fact about State Entropy Maximization

(Standard) RL Objective:

$$\max_{d^\pi \in \Delta_{\mathcal{S}}} \langle d^\pi, r \rangle$$

VS

Convex RL Objective:

$$\max_{d^\pi \in \Delta_{\mathcal{S}}} \mathcal{F}(d^\pi)$$

One Fun Fact about State Entropy Maximization

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$$\max_{d^\pi \in \Delta_{\mathcal{S}}} \mathcal{F}(d^\pi)$$

Apprenticeship Learning, Inverse RL, Constrained RL, Imitation Learning, Diverse Skill Discovery are **all instances of convex RL** [4].
(I claim RLHF as well, prove me wrong)

[4] Mutti et al., Convex Reinforcement Learning in Finite Trials. JMLR 2023

One Fun Fact about State Entropy Maximization

(Standard) RL Objective:

$$\max_{d^\pi \in \Delta_S} \langle d^\pi, r \rangle$$

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Convex RL Objective:

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Apprenticeship Learning, Inverse RL, Constrained RL, Imitation Learning, Diverse Skill Discovery are **all instances of convex RL** [4].

But Convex RL is **hard**: non-Markovian rewards and no Bellman Operators, number of trials matters.

[4] Mutti et al., Convex Reinforcement Learning in Finite Trials. JMLR 2023

One Fun Fact about State Entropy Maximization

One Hardness of Convex RL resides in the **number of trials** [4]:

Finite-Trials State Distribution:

$$d_K(s) = \frac{1}{KT} \sum_{k,t \in [K,T]} 1(\mathbf{s}_k[t] = s)$$

VS

Infinite-Trials State Distribution:

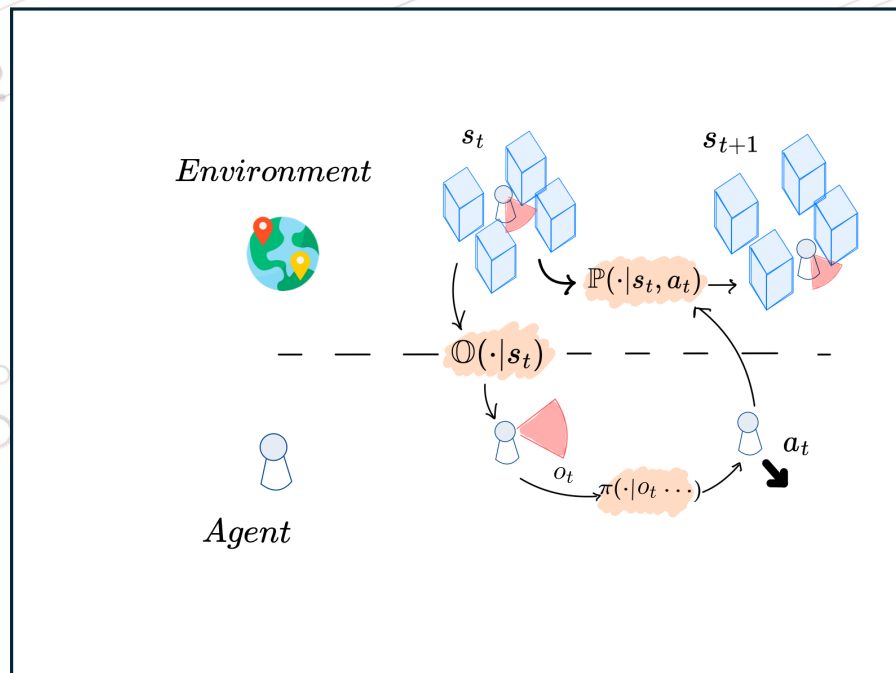
$$d^\pi(s) = \mathbb{E}_{d_K \sim p_K^\pi} [d_K(s)]$$

$$\mathcal{F}(d^\pi) \neq \mathbb{E}_{d_K \sim p_K^\pi} [\mathcal{F}(d_K)]$$

[4] Mutti et al., Convex Reinforcement Learning in Finite Trials. JMLR 2023

Pre-Training with Partial Observations

$$\mathbb{M} := (\mathcal{S}, \mathcal{O}, \mathbb{O}, \mathcal{A}, \mathbb{P}, \mu, T)$$



\mathcal{S} State Space
 \mathcal{O} Observation Space
 $\mathbb{O} : \mathcal{S} \rightarrow \Delta(\mathcal{O})$ Observation Matrix
 \mathcal{A} Action Space
 $\pi : \mathcal{I} \rightarrow \Delta(\mathcal{A})$ Policy
 $\mathbb{P} : \mathcal{S} \times \mathcal{A} \rightarrow \Delta(\mathcal{S})$ Transition Matrix
 μ Initial State Distribution
 T Episode Horizon ($t \in [T]$)

[5] Åström, Optimal control of Markov processes with incomplete state information, 1965

where $\mathcal{I} \in \{\mathcal{O}, \mathcal{O}^T\}$

Pre-Training with Partial Observations

In **Partially Observable** Environments:

- **Observations jeopardize pre-training** [A] and agents need to **regularize** with respect to the **observation quality** to counteract the mismatch.
- When learning via a **latent model** [B], learning should explicitly avoid **hallucinatory effects** of the **latent representation**.

[A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024

[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

Pre-Training with Partial Observations

Maximum State Entropy
(MSE)

$$\max_{\pi \in \Pi} H(d_{\mathcal{S}}^{\pi})$$

VS

Maximum Observation Entropy
(MOE)

$$\max_{\pi \in \Pi} H(d_{\mathcal{O}}^{\pi})$$

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Pre-Training with Partial Observations

Maximum State Entropy
(MSE)

$$\max_{\pi \in \Pi} H(d_{\mathcal{S}}^{\pi})$$

vs

Maximum Observation Entropy
(MOE)

$$\max_{\pi \in \Pi} H(d_{\mathcal{O}}^{\pi})$$

$$\log \left(\frac{1}{\sigma_{\max}(\mathbb{O}^{\circ-1})} \right) \leq H(d_{\mathcal{S}}^{\pi}) - H(d_{\mathcal{O}}^{\pi}) \leq \log(\sigma_{\max}(\mathbb{O}))$$

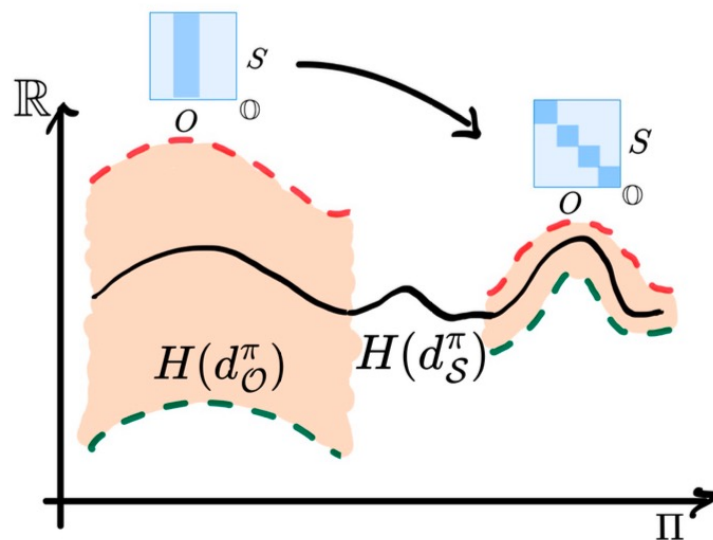
$$\sigma_{\max}(A) := \|A\|_2 = \sqrt{\lambda_{\max}(A^*A)} \quad \text{Maximum Singular Value}$$

$$A_{ij}^{\circ-1} = \frac{1}{A_{ij}} \quad \forall i, j \quad \text{Hadamard Inverse}$$

[A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024

Pre-Training with Partial Observations

$$\log\left(\frac{1}{\sigma_{\max}(\mathbb{O}^{\circ}-1)}\right) \leq H(d_S^{\pi}) - H(d_{\mathcal{O}}^{\pi}) \leq \log(\sigma_{\max}(\mathbb{O}))$$



Pro: Bidirectional Bound.

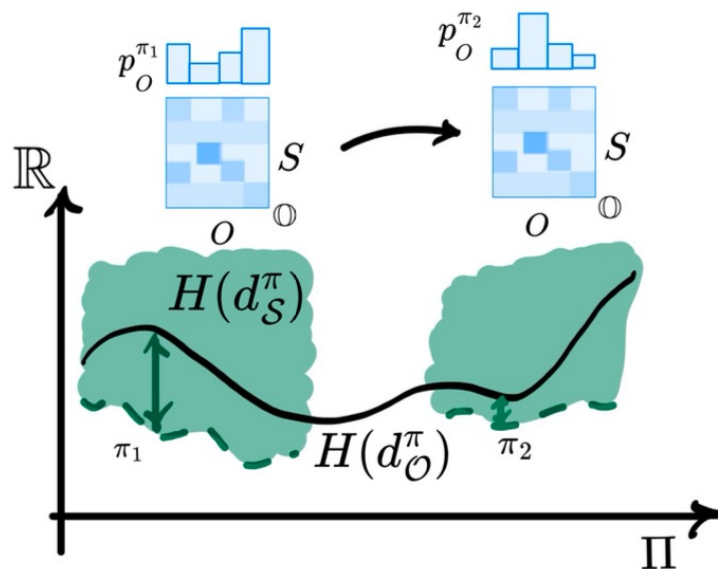
Cons:

- Opaque dependency on \mathbb{O} .
- Independent of the policy.

[A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024

Pre-Training with Partial Observations

$$H(d_S^\pi) \geq H(d_O^\pi) - H(S|O, \pi) + \log(\sigma_{\max}(\mathbb{O}))$$



$$H(S|O, \pi) := \mathbb{E}_{o \sim d_O^\pi} [H(\mathbb{O}(o|\cdot))]$$

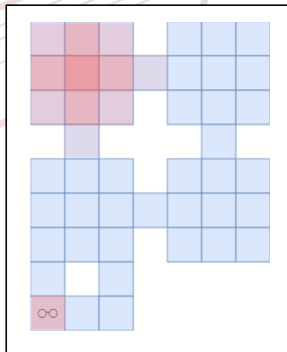
Pro:

- Implicit Dependency on the policy.
- Accessible in POMDPs.

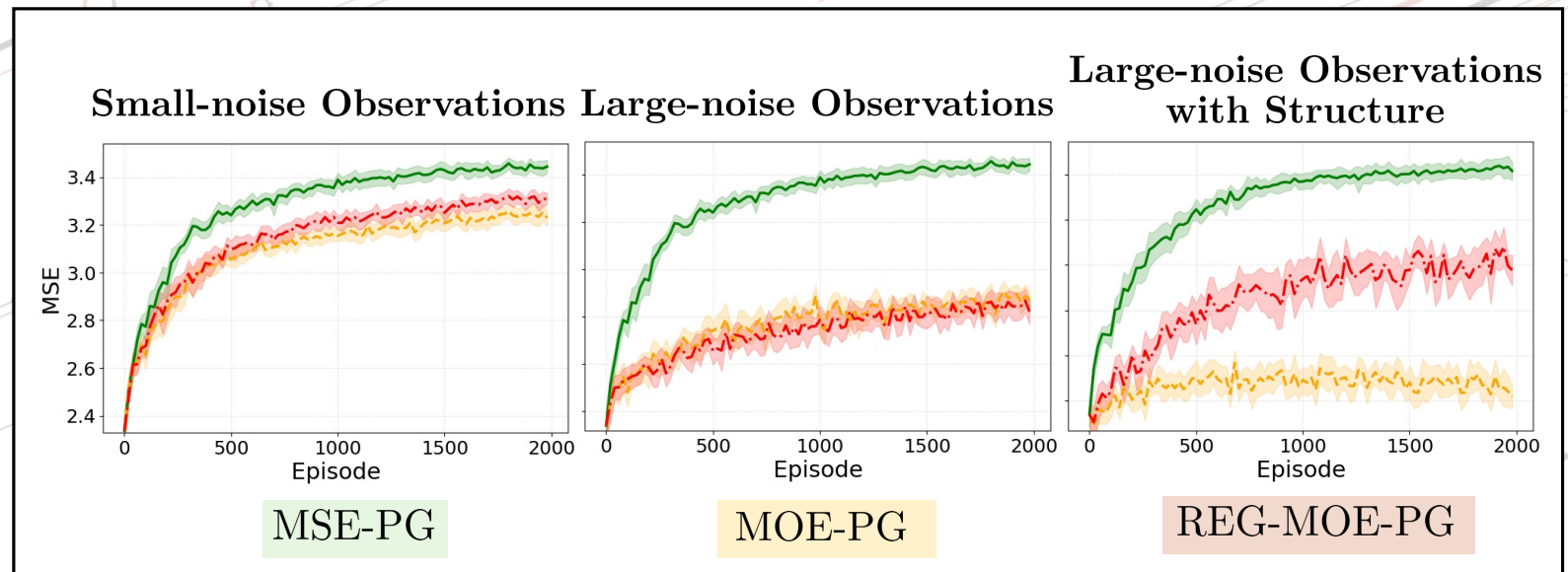
Cons: Lower-Bound only.

[A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024

Pre-Training with Partial Observations

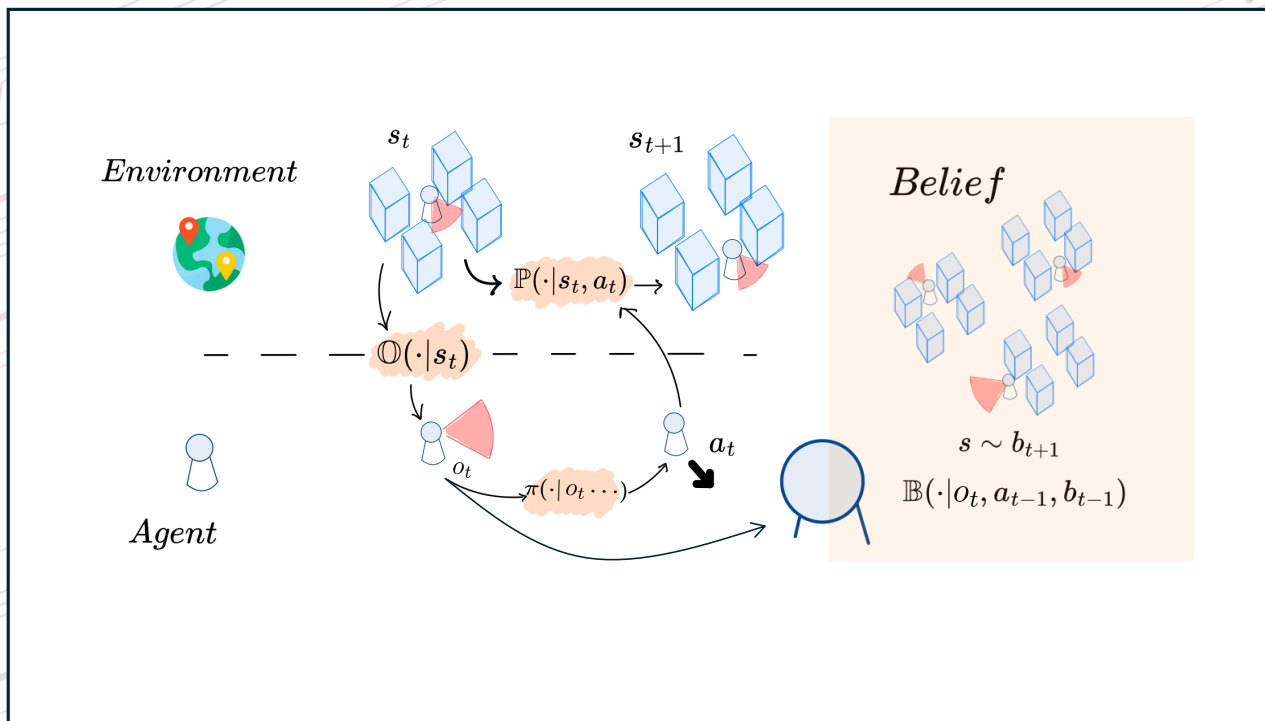


$$\mathbb{X}(\cdot|s) \in \mathcal{N}(s, \sigma^2)$$



[A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024

Pre-Training with Partial Observations



\mathcal{S} State Space
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 $\pi : \mathcal{I} \rightarrow \Delta(\mathcal{A})$ Policy
 $\mathbb{P} : \mathcal{S} \times \mathcal{A} \rightarrow \Delta(\mathcal{S})$ Transition Matrix
 $b \in \mathcal{B} \subseteq \Delta(\mathcal{S})$ Belief Model
 $\mathbb{B} : \mathcal{X} \times \mathcal{A} \times \mathcal{B} \rightarrow \mathcal{B}$ Model Update
 μ Initial State Distribution
 T Episode Horizon ($t \in [T]$)

[6] Avalos et al., The Wasserstein Believer. ICLR 2024

where $\mathcal{I} \in \{\mathcal{O}, \mathcal{S}, \mathcal{B}, \mathcal{O}^T, \mathcal{S}^T, \mathcal{B}^T\}$

Pre-Training with Partial Observations

Maximum Believed Entropy (**MBE**)

$$H(d_{\tilde{\mathcal{S}}}^{\pi}) := \mathbb{E}_{\mathbf{b} \sim p_{\mathcal{B}}^{\pi}} \mathbb{E}_{d_{\tilde{\mathcal{S}}} \sim \mathbf{b}} H(d_{\tilde{\mathcal{S}}})$$

[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

Pre-Training with Partial Observations

Maximum Believed Entropy (**MBE**)

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Pro:

- Learned Model
- Non-Markovianity

Learning over the latent model can be exploited to build **degenerate** (i.e. highly entropic) **representations**.

Cons: Hallucinations

[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

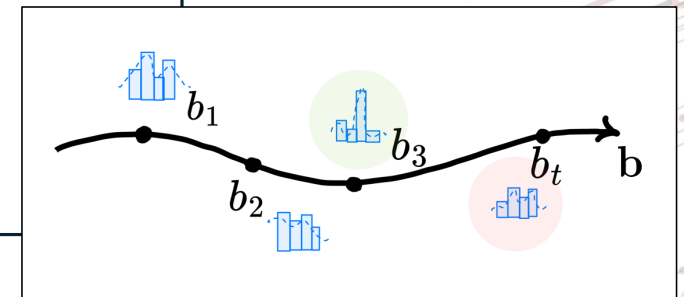
Pre-Training with Partial Observations

$$\begin{aligned} H(d_{\tilde{\mathcal{S}}}^{\pi}) &:= \mathbb{E}_{\mathbf{b} \sim p_{\mathcal{B}}^{\pi}} \mathbb{E}_{d_{\tilde{\mathcal{S}}} \sim \mathbf{b}} H(d_{\tilde{\mathcal{S}}}) \\ &\geq H(\mathbf{b}) = \sum_{t \in [T]} H(\mathbf{b}_t) \\ H_{\beta}(d_{\tilde{\mathcal{S}}}^{\pi}) &:= \mathbb{E}_{\mathbf{b} \sim p_{\mathcal{B}}^{\pi}} [\mathbb{E}_{d_{\tilde{\mathcal{S}}} \sim \mathbf{b}} H(d_{\tilde{\mathcal{S}}}) - \beta H(\mathbf{b})] \end{aligned}$$

[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

Pre-Training with Partial Observations

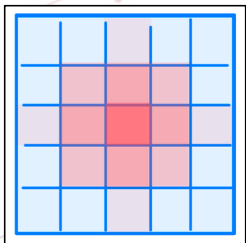
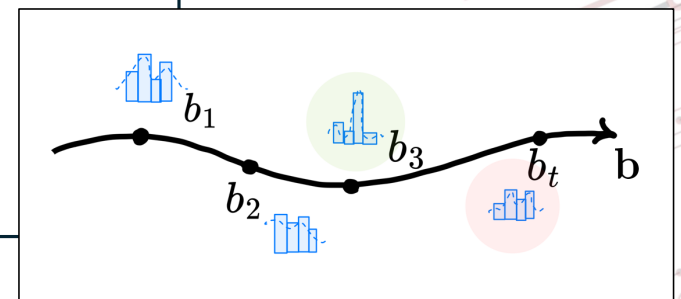
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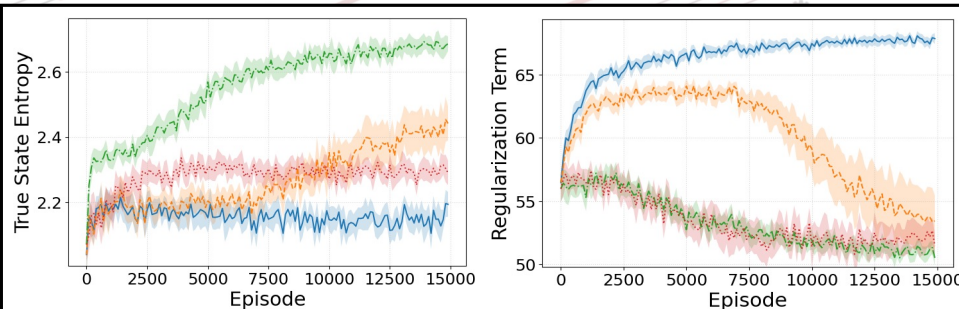
[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

Pre-Training with Partial Observations

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 \end{aligned}$$



$$\mathbb{X}(\cdot|s) \in \mathcal{N}(s, \sigma^2)$$



MSE-PG

MOE-PG

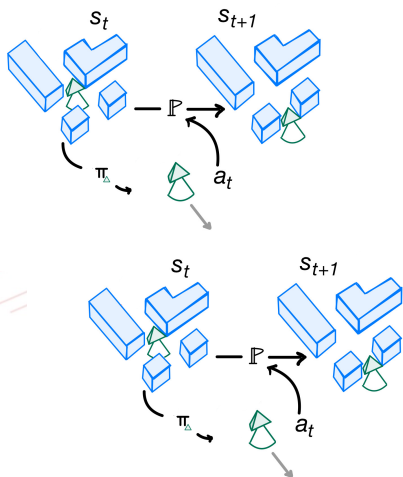
MBE-PG

REG-MBE-PG

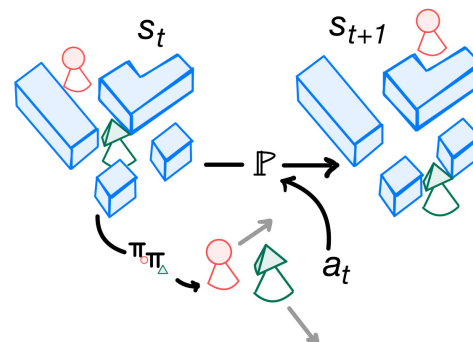
[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

Pre-Training with Multiple Agents

Parallel MDPs [7]



Markov Games [8]



[7] Sucar. Parallel Markov Decision Processes. Advances in Probabilistic Graphical Models. 2007

[8] Littman. Markov games as a framework for multi-agent reinforcement learning. ICML 1994

Pre-Training with Multiple Agents

In **Multi-Agent** Environments:

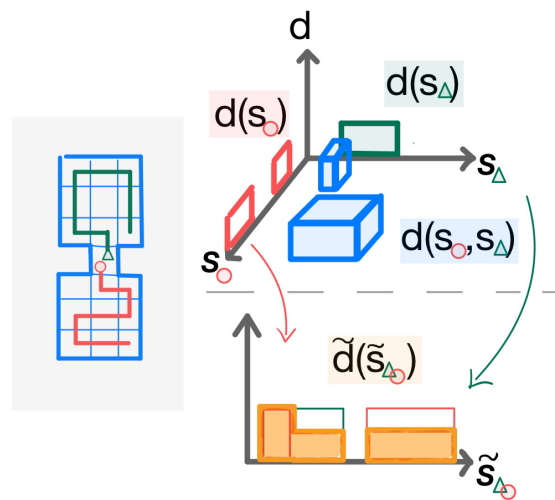
- When learning in **parallel environments** [C], **diversity collapse** should be explicitly avoided to have any advantages.
- When learning in **games** [D] over finite-trials, **curse of dimensionality** hinders the scalability of pre-training.

The answer to both these challenges is the use of **hybrid representation**.

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

Pre-Training with Multiple Agents



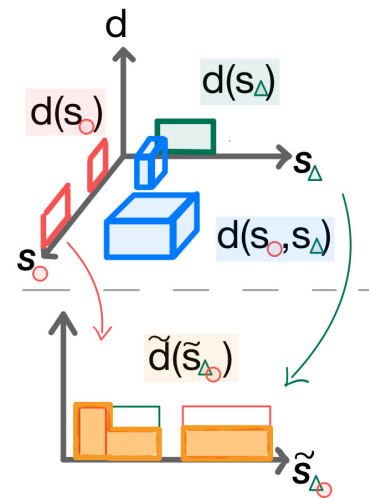
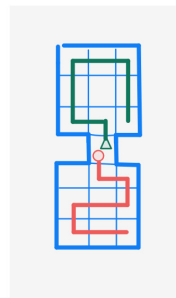
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Pre-Training with Multiple Agents

Marginal Distribution:

$$d_i^\pi(s_i) = \frac{1}{T} \sum_{t \in [T]} Pr(s_{t,i} = s_i | \pi, \mu)$$



Joint Distribution:

$$d^\pi(s) = \frac{1}{T} \sum_{t \in [T]} Pr(s_t = s | \pi, \mu)$$

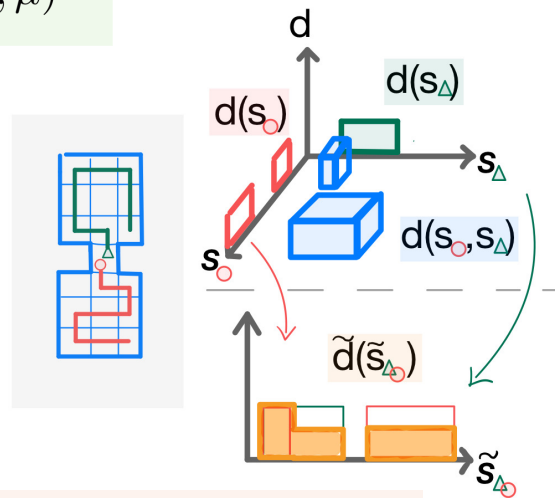
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Mixture Distribution:

$$\tilde{d}^\pi(\tilde{s}) = \frac{1}{|\mathcal{N}|} \sum_{i \in [\mathcal{N}]} d_i^\pi(\tilde{s})$$

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

Pre-Training with Multiple Agents

In **parallel** environments, the use of **mixture distributions** allows for:

- **Provably efficient learning** in infinite trials, via a **parallel** formulation of **Frank-Wolfe** [9]

[9] Hazan et al. Provably efficient Maximum Entropy Exploration. PMLR 2019

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

Pre-Training with Multiple Agents

In **parallel** environments, the use of **mixture distributions** allows for:

- **Provably efficient learning** in infinite trials, via a **parallel** formulation of **Frank-Wolfe** [9]
- In finite trials, optimizing the mixture entropy allows for **state distribution diversity**.

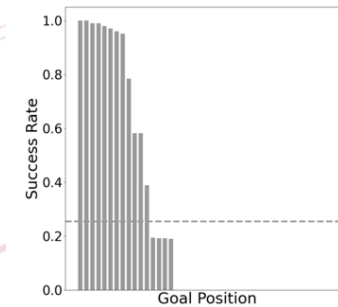
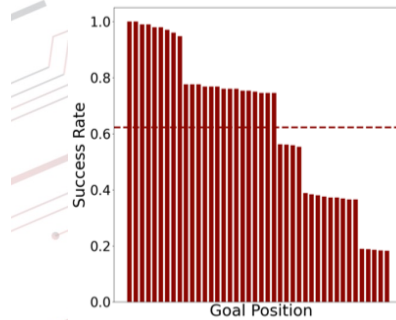
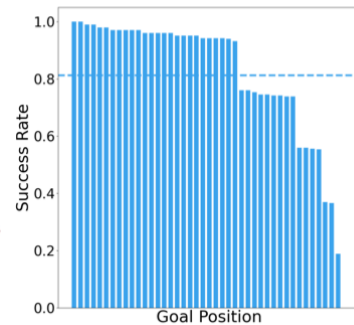
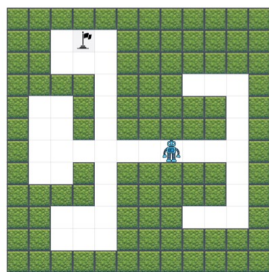
$$H(\tilde{d}^\pi) = \frac{1}{|\mathcal{N}|} \sum_{i \in [\mathcal{N}]} H(d_i^\pi) + \frac{1}{|\mathcal{N}|} \sum_{i \in [\mathcal{N}]} \text{KL}(d_i^\pi \| \tilde{d}^\pi)$$

[9] Hazan et al. Provably efficient Maximum Entropy Exploration. PMLR 2019

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

Pre-Training with Multiple Agents

Unsupervised parallel pre-training leads to **better data-collection** and **higher offline robustness**.



Success Rate of **Offline RL for different tasks**, with data collected with **parallel** or **non-parallel pre-trained** policies or **random** policies

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

Pre-Training with Multiple Agents

In **games**, the use of **mixture distributions** allows for:

- **Efficient Lower bounds** to the ideal objective

$$\frac{H(d^\pi)}{|\mathcal{N}|} \leq \frac{1}{|\mathcal{N}|} \sum_{i \in [\mathcal{N}]} H(d_i^\pi) \leq H(\tilde{d}^\pi) \leq H(d_{i^*}^\pi) + \log(|\mathcal{N}|) \leq H(d^\pi) + \log(|\mathcal{N}|)$$

[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

Pre-Training with Multiple Agents

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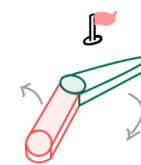
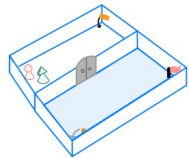
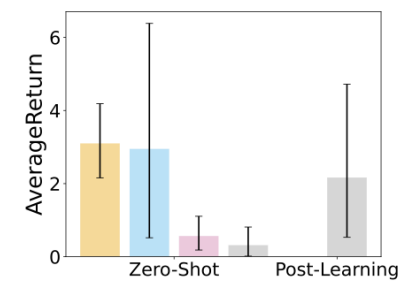
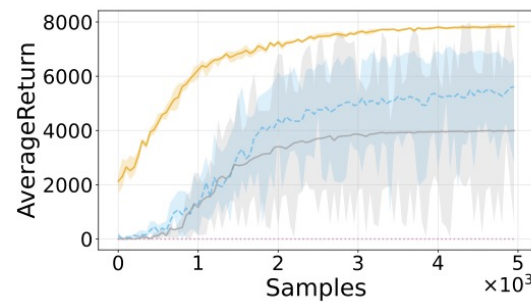
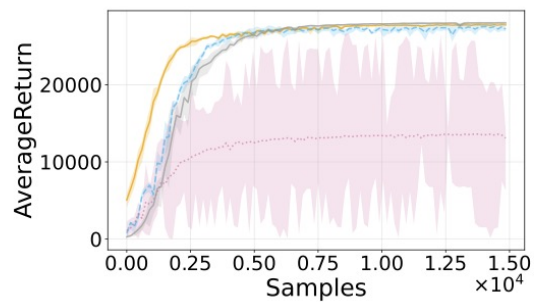
- **Faster concentration** of entropies

$$|H(d^\pi) - \mathbb{E}_{d_K \sim p_K^\pi} H(d_K)| \leq LT \sqrt{\frac{2|\mathcal{S}| \log(2T/\delta)}{K}} \quad \mathbf{VS} \quad |H(\tilde{d}^\pi) - \mathbb{E}_{\tilde{d}_K \sim p_K^\pi} H(\tilde{d}_K)| \leq LT \sqrt{\frac{2|\tilde{\mathcal{S}}| \log(2T/\delta)}{|\mathcal{N}|K}}$$

[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

Pre-Training with Multiple Agents

Unsupervised **multi-agent pre-training** leads to **faster learning** and **zero-shot performances** when done right.



Effect over **training dynamics** (left) and **zero-shot performances** (right) of **unsupervised policy pre-training**, with different objectives, **mixture**, **joint**, **disjoint** pre-training or **random** initialization.

[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

Future research directions

- **Scaling**, some **Scaling**, and then **Scaling**
- Unsupervised **Policy Space Compression**
- Dual optimization for **general convex** MDPs and MGs

References

- [1] Laskin et al., Unsupervised reinforcement learning benchmark, NeurIPS 2021
- [2] Zisselmann et al. Explore to Generalize in Zero-Shot RL. NeurIPS 2023
- [3] Ashlag et al. State Entropy Regularization for Robust Reinforcement Learning, pre-print 2025
- [4] Mutti et al., Convex Reinforcement Learning in Finite Trials. JMLR 2023
- [5] Åström, Optimal control of Markov processes with incomplete state information, 1965
- [6] Avalos et al., The Wasserstein Believer. ICLR 2024
- [7] Sucar. Parallel Markov Decision Processes. Advances in Probabilistic Graphical Models. 2007
- [8] Littman. Markov games as a framework for multi-agent reinforcement learning. ICML 1994
- [9] Hazan et al. Provably efficient Maximum Entropy Exploration. PMLR 2019

- [A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024
- [B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024
- [C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025
- [D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

The background features a repeating pattern of stylized circuit traces in red, grey, and blue, interspersed with small gear icons. A central dark red rounded rectangle contains the text.

Thank You

More References: Unsupervised Pre-Training

Approach	Pre-training	References
Low-rank or Block MDPs	Representations	[Misra et al., 2020], [Agarwal et al., 2020], [Modi et al., 2024]
Contrastive Loss	Representations	[Laskin et al., 2020, Luu et al., 2022], [Yu et al., 2025]
Reconstruction Loss	Representations	[Burda et al., 2019], [Anand et al., 2019], [Seo et al., 2022], [Meng et al., 2023]
Supervised Learning Loss	Representations	[Yuan et al., 2022, Yoon et al., 2023]
Reward-Free RL	Transition Model	[Jin et al., 2020], [Kaufmann et al., 2021], [Ménard et al., 2021], [Zhang et al., 2020d] [Zhang et al., 2020c]
Task-Agnostic RL	Transition Model	
Forward-Backward & Behavioral Foundation Models	Transition Model	[Touati and Ollivier, 2021, Tirinzoni et al., 2025, Sikchi et al., 2025]
World Models	Transition Model	[Ha and Schmidhuber, 2018], [Hafner et al., 2019], [Matsuo et al., 2022] [Hafner et al., 2023], [Pearce et al., 2024]
Curiosity	Transition Model	[Schmidhuber, 1991], [Pathak et al., 2017], [Burda et al., 2018]
Reward-Free Data Collection	Dataset	[Wang et al., 2020, Zanette et al., 2020]
ExORL	Dataset	[Yarats et al., 2022]
Explore2Offline	Dataset	[Lambert et al., 2022]
Count-Based	Dataset	[Bellemare et al., 2016]
Policy Space Compression	Policy Space	[Mutti et al., 2022c]
Policy Collection-Elimination	Policy Space	[Ye et al., 2023]
Mutual Information for Skill Discovery	Policy Space	[Gregor et al., 2017], [Eysenbach et al., 2018], [Hansen et al., 2019], [Sharma et al., 2019], [Campos et al., 2020], [Liu and Abbeel, 2021a], [He et al., 2022], [Zahavy et al., 2022] see Table 3.2
Entropy Maximization	Policy	
High-Level Hierarchical Policies	Policy	[Pertsch et al., 2021, Baker et al., 2022, Ramrakhya et al., 2023, Yuan et al., 2024]
Fine-Tuning Mechanisms	Policy	[Campos et al., 2021], [Pislar et al., 2021], [Xie et al., 2021], [Uchendu et al., 2023]

More References: State Entropy Maximization

Algorithm	Distribution	Space	Reference
MaxEnt	Discounted	State	[Hazan et al., 2019]
FW-AME	Stationary	State-Action	[Tarbouriech and Lazaric, 2019]
SMM	Marginal	State	[Lee et al., 2020]
IDE ³ AL	Stationary	State	[Mutti and Restelli, 2020]
MEPOL	Marginal	State	[Mutti et al., 2021]
MaxRényi	Discounted	State-Action	[Zhang et al., 2021a]
GEM	Marginal	State	[Guo et al., 2021]
APT	Marginal	State	[Liu and Abbeel, 2021b]
RE3	Marginal	State	[Seo et al., 2021]
Proto-RL	Marginal	State	[Yarats et al., 2021]
MetaEnt	Discounted	State	[Zahavy et al., 2021]
RL-Explore-Ent	Discounted	State Trajectories	[Zahavy et al., 2021]
KME	Discounted	State	[Nedergaard and Cook, 2022]
FSC	Stationary	Observation Trajectories	[Savas et al., 2022]
CEM	Marginal	State	[Yang and Spaan, 2023]
$\eta\psi$ -Learning	Discounted	State	[Jain et al., 2023]
ExpGen	Marginal	State	[Zisselman et al., 2023]
MOE	Marginal	Observation	[Zamboni et al., 2024b]
MBE	Marginal	Latent State	[Zamboni et al., 2024a]
TRPE	Marginal	State	[Zamboni et al., 2025]
PGL	Marginal	State	[Gemp et al., 2025]
PGPSE	Marginal	State	[De Paola et al., 2025]

One Fun Fact: Convex Objectives

UTILITY \mathcal{F}		APPLICATION	INFINITE \equiv FINITE
$r \cdot d$	$r \in \mathbb{R}^S, d \in \Delta_S$	RL	✓
$\ d - d_E\ _p^p$	$d, d_E \in \Delta_S$	IMITATION LEARNING	✗
$\text{KL}(d d_E)$			
$-d \cdot \log(d)$	$d \in \Delta_S$	PURE EXPLORATION	✗
$\text{CVaR}_\alpha[r \cdot d]$	$r \in \mathbb{R}^S, d \in \Delta_S$	RISK-AVERSE RL	✗
$r \cdot d - \text{Var}[r \cdot d]$			
$r \cdot d, \text{ s.t. } \lambda \cdot d \leq c$	$r, \lambda \in \mathbb{R}^S, c \in \mathbb{R}, d \in \Delta_S$	LINEARLY CONSTRAINED RL	✓
$-\mathbb{E}_z \text{KL}(d_z \mathbb{E}_k d_k)$	$z \in \mathbb{R}^d, d_z, d_k \in \Delta_S$	DIVERSE SKILL DISCOVERY	✗

[4] Mutti et al., Convex Reinforcement Learning in Finite Trials. JMLR 2023

Pre-Training with Partial Observations [A]

Algorithm 1 PG for MOE (**Reg-MOE**)

- 1: **Input:** learning rate α , number of iterations K , batch size N
 - 2: Initialize the policy parameters θ_1
 - 3: **for** $k = 1, \dots, K$ **do**
 - 4: Sample N trajectories $\{(\mathbf{x}_i, \mathbf{a}_i)\}_{i \in [N]}$ with the policy π_{θ_k}
 - 5: Compute $\{H(X|\mathbf{x}_i)\}_{i \in [N]}$ and $\{\nabla_{\theta} \log \pi_{\theta}(\mathbf{x}_i, \mathbf{a}_i) = \sum_{t \in [T]} \nabla_{\theta} \log \pi_{\theta}(\mathbf{a}_i[t]|\mathbf{x}_i[t])\}_{i \in [N]}$
 - 6: Update the policy parameters in the gradient direction
$$\theta_{k+1} \leftarrow \theta_k + \alpha \frac{1}{N} \sum_i \nabla_{\theta} \log \pi_{\theta}(\mathbf{x}_i, \mathbf{a}_i) (H(X|\mathbf{x}_i) - \beta \sum_{x \in \mathcal{X}} p_X(x|\mathbf{x}_i) H(\mathbb{O}(x|\cdot)))$$
 - 7: **end for**
 - 8: **Output:** the final policy π_{θ_K}
-

[A] **Zamboni** et al. The Limits of Pure Exploration in POMDPs: When the Observation Entropy is Enough. RLC 2024

Pre-Training with Partial Observations [B]

Algorithm 1 Reg-PG for MaxEnt POMDPs

- 1: **Input:** learning rate α , initial parameters θ_1 , number of episodes K , batch size N , information set \mathcal{I} , proxy class $j \in \{\mathcal{S}, \mathcal{O}, \tilde{\mathcal{S}}\}$, **regularization parameter** ρ
 - 2: **for** $k = 1$ **to** K **do**
 - 3: Sample N trajectories $\{\tau_j^n \sim p^{\pi_{\theta_k}}\}_{n \in [N]}$
 - 4: Compute the feedbacks $\{H(d(\tau_j^n))\}_{n \in [N]}$
 - 5: Compute $\{\log \pi(\tau_j^n)\}_{n \in [N]}$
 - 6: Perform a gradient step $\theta_{k+1} \leftarrow \theta_k + \frac{\alpha}{N} \sum_n \log \pi(\tau_j^n) [H(d(\tau_j^n)) - \rho \sum_t H(b_t^n)]$
 - 7: **end for**
 - 8: **Output:** the last-iterate policy π_{θ}^K
-

[B] **Zamboni** et al. How to explore with belief: state entropy maximization in POMDPs . ICML 2024

Pre-Training with Multiple Agents [C]

Algorithm 2 Parallel Frank-Wolfe.

1: **Input:** Step size η , number of iterations T , number of agents N , planning oracle tolerance $\varepsilon_1 > 0$, distribution estimation oracle tolerance $\varepsilon_0 > 0$.

2: Set $\{C_0^i = \{\pi_0^i\}\}_{i \in N}$ where π_0^i is an arbitrary policy, $\alpha_0^i = 1$.

3: **for** $t = 0, \dots, T - 1$ **do**

4: Each agent call the state distribution oracle on $\pi_{\text{mix},t} = \frac{1}{N} \sum_i (\alpha_t^i, C_t^i)$:

$$\hat{d}_{\pi_{\text{mix},t}}^i = \text{DENSITYEST}(\pi_{\text{mix},t}, \varepsilon_0)$$

5: Define the reward function r_t^i for each agent i as

$$r_t^i(s) = \nabla H(\hat{d}_{\pi_{\text{mix},t}}^i) := \frac{d\mathcal{H}(X)}{dX} \Big|_{X=\hat{d}_{\pi_{\text{mix},t}}^i}.$$

6: Each agent computes the (approximately) optimal policy on r_t^i :

$$\pi_{t+1}^i = \text{APPROXPLAN}(r_t^i, \varepsilon_1).$$

7: Each agent updates

$$\begin{aligned} C_{t+1}^i &= (\pi_0^i, \dots, \pi_t^i, \pi_{t+1}^i), \\ \alpha_{t+1}^i &= ((1 - \eta)\alpha_t^i, \eta). \end{aligned}$$

8: **end for**

9: $\pi_{\text{mix},T} = \frac{1}{N} \sum_i (\alpha_T^i, C_T^i)$.

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

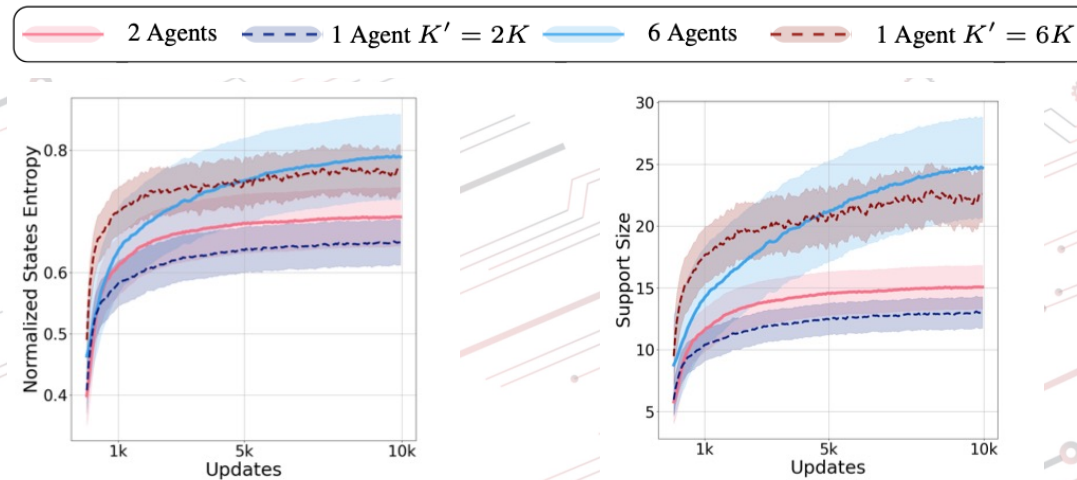
Pre-Training with Multiple Agents [C]

Algorithm 1: Policy Gradient for Parallel States Entropy maximization (PGPSE)

```
1: Input: Episodes  $N$ , Trajectories  $K$ , Batch Size  
    $B$ , Learning Rate  $\alpha$ , Parameters  $\theta = (\theta^i)_{i \in [m]}$   
2: for  $e \in \{1, \dots, N\}$  do  
3:   for  $itr \in \{1, \dots, B\}$  do  
4:     for  $k \in \{1, \dots, K\}$  do  
5:        $\tau \sim \pi_\theta$  {Sample parallel trajectories}  
6:        $\log \pi_{\theta_i} \leftarrow \sum_{t=1}^{T-1} \nabla_\theta \log \pi_\theta(a_t | s_t)$   
7:        $d_p(s) \leftarrow \frac{1}{km} \sum_{j,i,t=1}^{m,k,T} \mathbf{1}(s_{t,i,j} = s)$   
8:        $\nabla_\theta \mathcal{J}(\theta) \leftarrow \nabla_\theta \mathcal{J}(\theta) + \log \pi_{\theta_i} \cdot \mathcal{H}(d_p)$   
9:     end for  
10:   end for  
11:    $\nabla_\theta \mathcal{J}(\theta) \leftarrow \frac{1}{B} \nabla_\theta \mathcal{J}(\theta)$   
12:    $\theta \leftarrow \theta + \alpha \nabla_\theta \mathcal{J}(\theta)$   
13: end for  
14: Output: Policies  $\pi_\theta = (\pi_{\theta^i})_{i \in [m]}$ 
```

[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

Pre-Training with Multiple Agents [C]



[C] De Paola and **Zamboni**. Enhancing Diversity in Parallel Agents: A Maximum State Entropy Exploration Story. ICML 2025

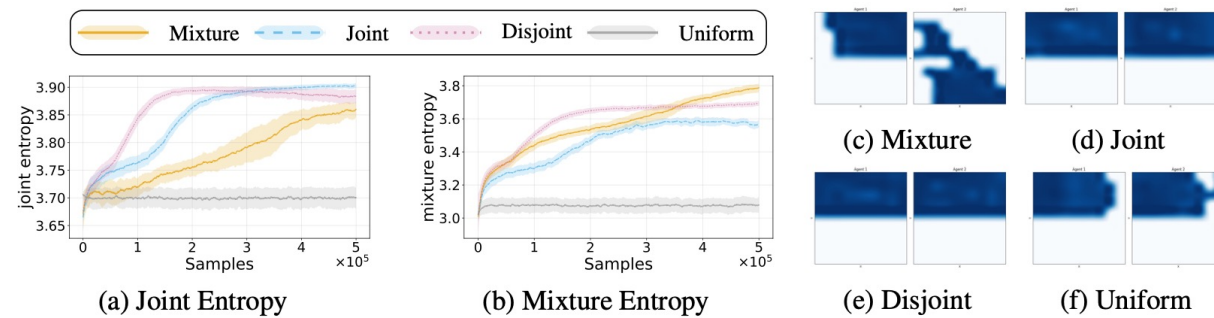
Pre-Training with Multiple Agents [D]

Algorithm: Trust Region Pure Exploration (TRPE)

```
1: Input: exploration horizon  $T$ , trajectories  $N$ ,  
   trust-region threshold  $\delta$ , learning rate  $\eta$   
2: Initialize  $\theta = (\theta^i)_{i \in [N]}$   
3: for epoch = 1, 2, ... until convergence do  
4:   Collect  $N$  trajectories with  $\pi_\theta = (\pi_{\theta^i})_{i \in [N]}$   
5:   for agent  $i = 1, 2, \dots$  concurrently do  
6:     Set datasets  $\mathcal{D}^i = \{(\mathbf{s}_n^i, \mathbf{a}_n^i), \zeta_1^n\}_{n \in [N]}$   
7:      $h = 0, \theta_h^i = \theta^i$   
8:     while  $D_{\text{KL}}(\pi_{\theta_h^i} \parallel \pi_{\theta_0^i}) \leq \delta$  do  
9:       Compute  $\hat{\mathcal{L}}^i(\theta_h^i / \theta_0^i)$  via IS.  
10:       $\theta_{h+1}^i = \theta_h^i + \eta \nabla_{\theta_h^i} \hat{\mathcal{L}}^i(\theta_h^i / \theta_0^i)$   
11:       $h \leftarrow h + 1$   
12:    end while  
13:     $\theta^i \leftarrow \theta_h^i$   
14:  end for  
15: end for  
16: Output: joint policy  $\pi_\theta = (\pi_{\theta^i})_{i \in [N]}$ 
```

[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review

Pre-Training with Multiple Agents [D]



[D] **Zamboni** et al. Towards Unsupervised Multi-Agent Reinforcement Learning. EXAIT @ ICML 2025 & Under-review